

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 4

Applicants:

Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern,
Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale,
Jason Lo, Stephen A. Pombo, and Rodney Bumgardner

#4

Application No.: 09/309,155

Group: 2774

Filed:

May 10, 1999

Examiner: Not Assigned

For:

PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>8/31/99</u> Date	<u>Anne Barnes</u> Signature
<u>Anne Barnes</u> Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 3, 1999, the following documents and fees are being submitted for filing in the captioned application:

[X] **EXECUTED DECLARATION/POWER OF ATTORNEY** - A copy of the Notice is attached.

(Separate transmittal letter and postcard not required)

[X] **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.

(Separate transmittal letter and postcard not required)

[X] **SURCHARGE** - surcharge fee of \$130.00.

(Separate transmittal letter and postcard not required)

[X] PETITION FOR EXTENSION OF TIME

[X] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated June 3, 1999 for one month from August 3, 1999 to September 3, 1999. The appropriate fee of \$110.00 is included in the enclosed check.

[] A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee.

[] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check.

(Separate Petition for Extension of Time and postcard not required)

[X] REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

[] VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

[] Was filed on [] .

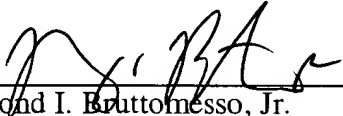
[] Is enclosed herewith.

(Separate transmittal letter and postcard not required)

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,000.00. Authorization is hereby granted to charge any additional fees due to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

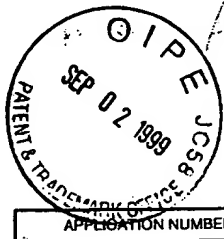
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Raymond I. Bruttofnesso, Jr.
Registration No.: 33,840
Tel.: (781) 861-6240
Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 31 August 1999



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/309,155 05/10/99 ZAVRACKY

M KPN97-04A5

0242/0603

THOMAS O HOOVER
HAMILTON BROOK SMITH & REYNOLDS P C
TWO MILITIA DRIVE
LEXINGTON MA 02421-4799

NOT ASSIGNED

2774

DATE MAILED:

06/03/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 840

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted; and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

L. Bell

Customer Service Center
Initial Patent Examination Division (703) 308-1202

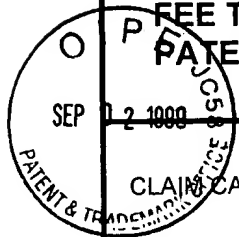
FILE COPY

09/03/1999 CUBACHA 00000031 09309155

FL:101
FL:105

88
760.00
130.00

HAMILTON, BROOK, SMITH & NOLDS, P.C.



FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	KPN97-04A5
Application Number	09/309,155
First Named Inventor	Matthew Zavracky

#3

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	17	- 20 =	0	x \$ 18 =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	3	- 3 =	0	x \$ 78 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 260 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 760
Total of above Calculations =					\$ 760
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =					\$
TOTAL =					\$ 760
Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =					\$ 130
Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$ 110
Assignment Recordation Fee = (only when filed with application)					\$
* Reissue claims in excess of 20 and over original patent.					
** Reissue independent claims over original patent					
TOTAL =					\$ 1,000

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 1,000. ☐ Please charge \$ _____ to Deposit Account No. 08-0380.

4. ☒ Other: Request for Corrected Filing Receipt with copy of Filing Receipt with
changes noted in red.

Signature		Date	31 August 1999
Submitted by Typed or Printed Name	Raymond I. Bruttomesso, Jr.	Reg. Number	33,840

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/309,155	05/10/99	2774	\$0.00	KPN97-04A5	94	17	3

THOMAS O HOOVER
HAMILTON BROOK SMITH & REYNOLDS P C
TWO MILITIA DRIVE
LEXINGTON MA 02421-4799

#3

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MATTHEW ZAVRACKY; JEFFREY JACOBSEN; FREDERICK P.
HERRMANN; WEN-FOO CHERN; HIAP L. ONG; JOHN C.C. FAN;
BOR-YEU TSAUR; ALAN RICHARD; RONALD P. GALE; JASON LO;
STEPHEN A. POMBO; RODNEY BUMGARDNER.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF PCT/US98/23035 10/29/98
WHICH IS A CIP OF 09/153,744 09/15/98
WHICH IS A CIP OF 09/066,061 04/24/98
WHICH IS A CIP OF 08/961,744 10/31/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/01/99

TITLE

PORTABLE MICRODISPLAY SYSTEM

PRELIMINARY CLASS: 345

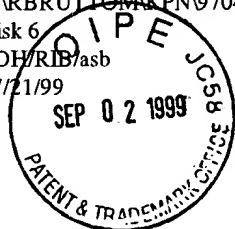
WHICH IS A CIP OF 09/004,706 01/08/98

DATA ENTRY BY: BELL, DOROTHY

TEAM: 04 DATE: 06/01/99



(see reverse)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3

Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern,
Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale,
Jason Lo, Stephen A. Pombo, and Rodney Bumgardner

Application No.: 09/309,155

Group: 2774

Filed: May 10, 1999

Examiner: Not Assigned

For: PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>8/31/99</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT

Customer Correction Branch
Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In view of the concurrently filed Declaration/Power of Attorney, we hereby request that the following items of information be added to the Filing Receipt for the subject application received in this office on June 8, 1999.

The continuing data as claimed by Applicant omitted a related application. Please correct the filing receipt to read as follows:

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF PCT/US98/23035 10/29/98

WHICH IS A CIP OF 09/153,744 09/15/98

WHICH IS A CIP OF 09/066,061 04/24/98

WHICH IS A CIP OF 09/004,706 01/08/98

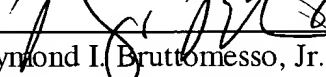
WHICH IS A CIP OF 08/961,744 10/31/97

Enclosed is a copy of the Filing Receipt with changes noted in red. Please make the changes as noted above, and issue a Corrected Filing Receipt.

Since the errors were made by the U.S. Patent and Trademark Office and not by Applicants or Applicants' Attorney, it is understood that there are no additional fees for the requested corrected Filing Receipt.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Raymond I. Bruttomesso, Jr.
Registration No.: 33,840
Telephone: (781) 861-6240
Facsimile: (781) 861-9540

Lexington, MA 02421-4799

Date: 31 August 1999